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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-------------|-----------------------------|---------------------|------------------|
| 10/595,308 | 04/06/2006 | Uwe Schon | B1180/20053 | 7160 |
| 3000 7590 05/14/2009 CAESAR, RIVISE, BERNSTEIN, COHEN & POKOTILOV, LTD. 11TH FLOOR, SEVEN PENN CENTER 1635 MARKET STREET PHILADELPHIA, PA 19103-2212 | | | | |
| EXAMINER RAHIM, AZIM | | | | |
| ART UNIT 3744 | | PAPER NUMBER | | |
| NOTIFICATION DATE 05/14/2009 | | DELIVERY MODE ELECTRONIC | | |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@crbcp.com

Office Action Summary

Application No.

10/595,308

Applicant(s)

SCHON ET AL.

Examiner

AZIM RAHIM

Art Unit

3744

Period for Reply -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 17 February 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 30, 35, 36 and 38-58 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 30, 35, 36 and 38-58 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

Claim Objections

1. Claims 30-58 are objected to because of the following informalities: In claim 43, line 2, the recitation "the top" should be corrected to recite --a top-- in order to establish proper antecedent basis in the claim. Appropriate correction is required.

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

4. Claims 30, 37, 38, 41, 42, 44-49, 52, 53 and 56-58 are rejected under 35 U.S.C. 103(a) as being unpatentable over Thomas (US 6,389,828) in view of Boese (US 4,566,283).

Regarding claim 30, 38 and 52, Thomas teaches cooling equipment (500) and operating method comprising: a) a liquid nitrogen [column 2, line 59] supply line [line that extends from cryogen supply tank 506 to chamber 503] for supplying a cooling agent (509) to a cooling chamber (503) [illustrated in figure 11]; c) a first temperature sensor (550) for measuring the temperature in the cooling chamber [illustrated in figure 11]; and e) a controller (553) for temperature control [via the controller's connection to temperature sensor 550], wherein the controller: (i) is adapted to detect several temperatures as control variables [column 10, lines 49-55; i.e. multiple temperature values]; (ii) is a multiple controller [as illustrated in figure 1, controller 553 is connected to several output components such as blower 512, temperature sensor 550 and valve 556]; and (iii) adjusting heating performances as manipulated variables [column 10, lines 57-61; i.e. multiples stages of heating]; wherein the controller has an input side connected to the first temperature sensor (illustrated in figure 11), and an output side connected to a heater (547) (illustrated in figure 11).

Thomas fails to teach a heater with an adjustable first heating performance for heating the cooling agent supplied to the cooling chamber integrated in the cooling agent supply line, a second temperature sensor for measuring an agent temperature of the cooling agent supplied to the cooling chamber, an evaporator in the cooling agent storage container with an adjustable second heating performance for evaporating the cooling agent present in the cooling agent storage container, and wherein the controller is connected to the second temperature sensor and the evaporator.

Boese teaches a liquid nitrogen supply tank [see figure 1] for cooling small samples [see column 1, lines 7 and 8] that comprises a liquid nitrogen supply line (11) containing a heater (9),

a liquid nitrogen storage tank (1) containing heaters (illustrated in figure 1) and a thermocouple (10) therein [illustrated in figure 1]. Boese further teaches that the heating of the nitrogen enables high stability of low temperature cooling with minimum consumption of liquid gas by evaporating the liquid nitrogen (see column 1, lines 40-57). It is noted that the Examiner has interpreted that the heating performance as the amount of heat that heaters 9 and 6 put out, thus it is factual that heaters 9 and 6 put out heat.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have modified the cooling equipment of Thomas to include the heaters disposed inside the cooling supply line and tank as taught by Boese in order to provide fine control of the cooling agent being supplied, thus enabling more of a variety of substances to be cooled.

Furthermore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to have modified the cooling equipment of Thomas to include the temperature sensor being disposed inside of the cooling supply line as taught by Boese in order to vary the temperature of the cooling agent supplied to the chamber, thus increasing overall system efficiency. It is noted that since controller 553 of Thomas is connected to multiple components, the controller is capable of controlling multiple temperature sensors.

It is noted that the temperature sensor and heaters of Boese would be connected to the controller of Thomas and the heating performances can be adjusted, and since the temperature sensor and heater of Boese has been modified to be connected to the controller of Thomas, the temperature information from the temperature sensor can be transmitted to the controller and the controller can control the heater.

Regarding claim 37, Boese teaches the heater is integrated in the cooling agent supply line [illustrated in figure 1].

Regarding claim 41, Thomas teaches that the cooling agent supply line is adapted to empty laterally into the cooling chamber [as illustrated in figure 11, the cryogen 509 is emptied laterally toward the wall that is disposed opposite to the wall where the cryogen is supplied].

Regarding claim 42, Thomas teaches that the cooling agent supply line is adapted to empty into the cooling chamber only on one side of the cooling chamber [illustrated in figure 11].

Regarding claim 44, Thomas teaches that the cooling chamber is closed [as illustrated in figure 11, chamber 503 is closed on two sides].

Regarding claim 45, Thomas teaches that the cooling chamber is open on its bottom [as illustrated in figure 11, chamber 503 is open on its left side where an arrow is disposed between heater 509 and temperature sensor 550].

Regarding claim 46, Thomas teaches that the cooling chamber is portable [given the proper transport equipment, the whole of the cooling equipment can be transported].

Regarding claim 47, Thomas teaches that the first temperature sensor is arranged inside the cooling chamber and at an interval from a wall of the cooling chamber [as illustrated in figure 11, temperature sensor 550 is disposed at a distance from the wall that the cryogen is injected].

Regarding claim 48, Thomas teaches that the first temperature sensor is fastened to the cooling chamber by holding equipment extending into the cooling chamber [as illustrated in figure 11, it is factual that temperature sensor 550 as to be mounted to the chamber in order for the sensor to be rigidly positioned therein].

Regarding claim 49, Thomas teaches that the first temperature sensor is attached to holder [the wall where temperature sensor 550 is disposed].

Regarding claim 53, Thomas as modified by Boese teaches all the limitations of the claimed invention, and Thomas further teaches the step of: g) heating the evaporated cooling agent prior to the introducing step with the adjustable first heating performance [column 1, lines 52-54]; and h) multiple controlling of the first heating performance and of the second heating performance [since controller 553 of Thomas is connected to multiple components as illustrated in figure 11, the controller is capable of receiving data from multiple temperature sensors to control the heater of Boese and the evaporator of Thomas].

Regarding claim 56 and 57, Thomas teaches the controlling of the agent temperature of the cooling agent entering into the cooling chamber in accordance with a target value set for the cooling chamber by adjusting the first heating performance [column 10, lines 49-55].

Regarding claim 58, Thomas teaches a method of cryopreserving a biological sample [i.e. food] comprising cooling the biological sample in the cooling equipment [see abstract, lines 1-6] according to Claim 30.

5. Claims 35 and 54 are rejected under 35 U.S.C. 103(a) as being unpatentable over Thomas as modified by Boese as applied to claims 30 and 52 above, and further in view of Ritter (US 3,245,248).

Regarding claims 35 and 54, Thomas as modified by Boese teach all the limitations of the claimed invention, and Thomas further teaches the multiple controlling of the first heating performance and of the second heating performance as a function of the different temperatures inside the cooling chamber [since controller 553 of Thomas is connected to multiple components as illustrated in figure 11, the controller is capable of receiving data from multiple temperature sensors to control the heater of Boese and the evaporator of Thomas].

Thomas as modified by Boese fail to explicitly teach that several temperature sensors connected to the controller are provided for measuring the chamber temperature in the cooling chamber, and wherein the temperature sensors are arranged in a spatially distributed manner for measuring a spatial distribution of temperature.

Ritter teaches a cryogenic temperature control apparatus [figure 1] that includes a controller (12) that is integrally connected to two temperature sensors (thermometers 21 and 15).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have modified the cooling equipment of Thomas as modified by Boese to include the multiple temperature sensors as taught by Ritter in order to record the temperature distribution within the chamber, thus enabling the controller to adjust the temperature accordingly.

6. Claim 36 and 55 are rejected under 35 U.S.C. 103(a) as being unpatentable over Thomas as modified by Boese and Ritter as applied to claims 34 and 52 above, and further in view of Sitte et al. (US 6,178,757).

Regarding claims 36 and 55, Thomas as modified by Boese teach all the limitations of the claimed invention, and Thomas further teaches the measuring of temperature using a thermocouple [column 6, lines 49 and 50]; the multiple controlling of the first heating performance and of the second heating performance as a function of the different temperatures inside the cooling chamber [since controller 553 of Thomas is connected to multiple components as illustrated in figure 11, the controller is capable of receiving data from multiple temperature sensors to control the heater of Boese and the evaporator of Thomas]; and measuring with a thermocouple the chamber temperature and the agent temperature prior to the introducing step [column 10, lines 49-55].

Thomas as modified by Boese and Ritter fail to teach that at least one of the temperature sensors is a temperature-dependent electrical resistor.

Sitte et al. teach a cooling chamber temperature control device that utilizes a platinum resistor temperature sensor to measure the temperature of a specimen [column 1, lines 38-42].

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have modified the cooling equipment of Thomas as modified by Boese and Ritter to include the use of a temperature dependant electrical resistor as taught by Sitte et al. in order to effectively measure a wide range of temperatures, thus increasing the accuracy of temperature measurement.

7. Claim 39 is rejected under 35 U.S.C. 103(a) as being unpatentable over Thomas as modified by Boese as applied to claims 30 above, and further in view of Hammerstedt et al. (US 6,065,294).

Regarding claim 39, Thomas as modified by Boese teach all the limitations of the claimed invention, but fails to explicitly teach that the first temperature sensor and the second temperature sensor are connected to storage equipment that stores the temperature courses.

Hammerstedt et al. teach a system to facilitate cryopreservation that includes a controller (48) that includes a microprocessor (64) that stores temperature data that is stored in memory for intervals of time [see figure 4 and column 5, lines 18-25].

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have modified the cooling equipment of Thomas as modified by Boese to

include a memory that stores temperature courses as taught by Hammerstedt et al. in order to control the temperature of the chamber based on past temperature trends, thus increasing the overall efficiency of the system.

8. Claims 40 and 43 are rejected under 35 U.S.C. 103(a) as being unpatentable over Thomas as modified by Boese as applied to claims 30 above, and further in view of Lee (US 5,335,503).

Regarding claim 40, Thomas as modified by Boese teach all the limitations of the claimed invention, but fail to explicitly teach that the cooling agent supply line is adapted to empty via a diffuser into the cooling chamber.

Lee teaches an apparatus that cools a heat load in a pressure vessel [see abstract, lines 1-7 and figure 1] that utilizes a diffuser (36) to inject the cryogen into the chamber [illustrated in figure 1].

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have modified the cooling equipment of Thomas as modified by Boese to include the diffuser as taught by Lee in order to evenly distribute the cryogen inside the chamber, thus increasing cooling efficiency.

Regarding claim 43, Thomas as modified by Boese teach all the limitations of the claimed invention, but fail to explicitly teach that the cooling agent supply line is adapted to empty into the cooling chamber at the top of the cooling chamber.

Lee teaches an apparatus that cools a heat load in a pressure vessel [see abstract, lines 1-7 and figure 1] that injects the cryogen from the top of the chamber [illustrated in figure 1].

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have modified the cooling equipment of Thomas as modified by Boese to include a cooling agent supply line is adapted to empty into the cooling chamber at the top of the cooling chamber as taught by Lee in order to prevent waste of the cryogen that is fed from a top of a supply tank, thus reducing operating costs.

9. Claims 50 and 51 are rejected under 35 U.S.C. 103(a) as being unpatentable over Thomas as modified by Boese as applied to claims 30 above, and further in view of Bash et al. (US 7,031,154).

Regarding claims 50 and 51, Thomas as modified by Boese teach all the limitations of the claimed invention, but fail to teach that the first temperature sensor is connected to a transponder that transmits a measured temperature in a wireless manner to the control device; and wherein the transponder is selected from the group consisting of a radio transponder, an ultrasonic transponder, an optical transponder and an infrared transponder.

Bash et al. teach the well known concept of providing temperature sensors (122 and 124) in a cooling system that communicates with a controller (104) through wireless shortwave radio communication [column 9, lines 1-10].

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have modified the cooling equipment of Thomas as modified by Boese to

include the transmitting of temperature data wirelessly to a controller as taught by Bash et al. in order to eliminate the use of wires, thus reducing operating costs.

Response to Arguments

10. Applicant's arguments filed 2/17/2009 have been fully considered but they are not persuasive.

Referring to the Applicant's "Remarks" section, the applicant contends that the Thomas or Boese do not teach an evaporator located in a coolant storage container with an adjustable second heating performance, a controller that adjusts the second heating performance, and that the combination of Thomas and Boese would not have resulted in the controller as recited in claims 30 and 52 (see page 8, 2nd paragraph, lines 12-16; and page 9, 1st paragraph, lines 5-12 and 2nd paragraph, lines 1-7). The Examiner respectfully disagrees. Thomas teaches a controller 553 that is used to control heater 547. Since heater 547 puts out heat, it must have a heating performance. Also, Boese teaches heating elements 6 placed inside of a liquid nitrogen storage tank 1. Also taught in column 1, lines 40-57 of Boese, the heating of the nitrogen enables high stability of low temperature cooling with minimum consumption of liquid gas by evaporating the liquid nitrogen. Since the controller of Thomas can control a heating performance and that the heating elements of Boese can evaporate liquid nitrogen in a storage tank, the limitations of an evaporator located in a coolant storage container with an adjustable second heating performance controlled by a controller have been taught, and the combination of Thomas and Boese is proper. Therefore, for at least these reasons, the Examiner respectfully submits that the rejections of claims 30 and 52 are properly upheld.

Also, the applicant contends that Ritter does not teach the claimed features above missing in Thomas and Boese in reference to the evaporator and the controller in regard to claims 34, 35 and 54 (see page 10, paragraph 2, lines 6-12). The Examiner respectfully disagrees. Ritter was introduced for the teaching of multiple temperature sensors being connected to a controller, and one of ordinary skill in the art would have been motivated to include the multiple temperature sensors as taught by Ritter in order to record the temperature distribution within the chamber, thus enabling the controller to adjust the temperature accordingly. Thus, the combination of Thomas, Boese and Ritter is proper. Therefore, in view of the Examiner's response to the applicant's arguments of the rejection of claims 30 and 52, the Examiner respectfully submits that the rejections of claims 34, 35 and 54 are properly upheld.

Furthermore, the applicant contends that Sitte does not teach the claimed features above missing in Thomas, Boese and Ritter in reference to the evaporator and the controller in regard to claims 36 and 55 (see page 11, paragraph 1, lines 5-9). The Examiner respectfully disagrees. Sitte was introduced for the teaching of a temperature dependant electrical resistor, and one of ordinary skill in the art would have been motivated to include the use of a temperature dependant electrical resistor as taught by Sitte et al. in order to effectively measure a wide range of temperatures, thus increasing the accuracy of temperature measurement. Thus, the combination of Thomas, Boese, Ritter and Sitte is proper. Therefore, in view of the Examiner's response to the applicant's arguments of the rejection of claims 30 and 52, the Examiner respectfully submits that the rejections of claims 36 and 55 are properly upheld.

The applicant contends that Hammerstedt does not teach the claimed features above missing in Thomas and Boese in reference to the evaporator and the controller in regard to claim

39 (see page 11, paragraph 2, lines 6-7 and page 12, paragraph 1, lines 1-3). The Examiner respectfully disagrees. Hammerstedt was introduced for the teaching of a memory that stores temperature courses, and one of ordinary skill in the art would have been motivated to include a memory that stores temperature courses as taught by Hammerstedt et al. in order to control the temperature of the chamber based on past temperature trends, thus increasing the overall efficiency of the system. Thus, the combination of Thomas, Boese, and Hammerstedt is proper. Therefore, in view of the Examiner's response to the applicant's arguments of the rejection of claims 30 and 52, the Examiner respectfully submits that the rejection of claim 39 is properly upheld.

The applicant contends that Lee does not teach the claimed features above missing in Thomas and Boese in reference to the evaporator and the controller in regard to claims 40 and 43 (see page 12, paragraph 3, lines 6-13). The Examiner respectfully disagrees. Lee was introduced for the teaching of a diffuser, and one of ordinary skill in the art would have been motivated to include the diffuser as taught by Lee in order to evenly distribute the cryogen inside the chamber, thus increasing cooling efficiency. Thus, the combination of Thomas, Boese, and Lee is proper. Therefore, in view of the Examiner's response to the applicant's arguments of the rejection of claims 30 and 52, the Examiner respectfully submits that the rejections of claims 40 and 43 are properly upheld.

The applicant contends that Bash does not teach the claimed features above missing in Thomas and Boese in reference to the evaporator and the controller in regard to claims 50 and 51 (see page 13, paragraph 3, lines 6-13). The Examiner respectfully disagrees. Bash was introduced for the teaching of the transmitting of temperature data wirelessly to a controller, and

one of ordinary skill in the art would have been motivated to include the transmitting of temperature data wirelessly to a controller as taught by Bash et al. in order to eliminate the use of wires, thus reducing operating costs. Thus, the combination of Thomas, Boese, and Lee is proper. Therefore, in view of the Examiner's response to the applicant's arguments of the rejection of claims 30 and 52, the Examiner respectfully submits that the rejections of claims 50 and 51 are properly upheld.

Conclusion

11. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to AZIM RAHIM whose telephone number is (571) 270-1998. The

examiner can normally be reached on Monday - Thursday 7am - 3pm EST and Friday 7am - 9:30am EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Frantz Jules can be reached on 571-272-6681. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/A. R./
Examiner, Art Unit 3744
4/29/2009

/Frantz F. Jules/

Supervisory Patent Examiner, Art Unit 3744